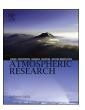
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Study of the planetary boundary layer by microwave radiometer, elastic lidar and Doppler lidar estimations in Southern Iberian Peninsula



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ABSTRACT

The Planetary Boundary Layer (*PBL*) is a relevant part of the atmosphere with a variable extension that clearly plays an important role in fields like air quality or weather forecasting. Passive and active remote sensing systems have been widely applied to analyze *PBL* characteristics. The combination of different remote sensing techniques allows obtaining a complete picture on the PBL dynamic. In this study, we analyze the *PBL* using microwave radiometer, elastic lidar and Doppler lidar data. We use co-located data simultaneously gathered in the framework of SLOPE-I (Sierra Nevada Lidar aerOsol Profiling Experiment) campaign at Granada (Spain) during a 90- day period in summer 2016. Firstly, the PBL height (*PBLH*) obtained from microwave radiometer data is validated against *PBLH* provided by analyzing co-located radiosondes, showing a good agreement. In a second stage, active remote sensing systems are used for deriving the *PBLH*. Thus, an extended Kalman filter method is applied to data obtained by the elastic lidar while the vertical wind speed variance method is applied to the Doppler lidar. *PBLH's* derived by these approaches are compared to *PBLH* retrieved by the microwave radiometer. The results show a good agreement among these retrievals based on active remote sensing in most of the cases, although some discrepancies appear in instances of intense *PBL* changes (either growth and/or decrease).

1. Introduction

The Planetary Boundary Layer (PBL) is defined as the "part of the troposphere that is directly influenced by the presence of the Earth's surface, and responds to surface forcings with a time scale of about an hour or less" (Stull, 1988). This layer has high variability, being characterized by a daily cycle and presence of turbulent processes. In an ideal situation, some instants after the sunrise the ground surface temperature begins to increase, due to positive net radiative flux. Then, the air masses situated close to the ground get warmer and a convective process starts due to the buoyancy of these air masses that transport heat to the upper atmospheric layers. According to Stull, 1988 this process originates an unstable layer denominated Convective Boundary Layer (CBL) or Mixing Layer (ML). Close to sunset, the reduction of incidence of solar radiation causes gradual suppression of the convective processes,

resulting in a weak and sporadic turbulence. Then, the *CBL* becomes two different layers: an stably stratified shallow boundary layer called Stable Boundary Layer (*SBL*), and the Residual Layer (*RL*), which still remains with the features from previous day's *CBL* and above the *SBL*. This cyclical process will start again with the next sunrise.

The *PBL* Height (*PBLH*) is an important parameter for a wide set of studies, which include pollutant dispersion, weather forecasting, meteorological modeling and air quality (Li et al., 2017). Although the *PBLH* cannot be measured directly, some atmospheric variables (e.g., potential temperature (θ), vertical wind speed (w), relative humidity (*RH*) and aerosol distribution) have characteristic profiles due to turbulent vertical processes that enable its detection (Stull, 1988). In addition, surface variables also can be used as proxy for *PBLH* detection, e.g. sensible heat flux (Haeffelin et al., 2017). The use of radiosounding is by practical and historical issues the most widespread method in

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PBLH detection along years (Seidel et al., 2010). However, the high variability of *PBL* during its daily cycle requires systems endowed with high temporal resolution for continuous monitoring, which is not covered when launching radiosondes. In this scenario, remote sensing systems had risen as an important tool in *PBL* studies, providing detailed and long-term observational *PBLH* information (e.g. He et al., 2006; Granados-Muñoz et al., 2012; Di Giuseppe et al., 2012; Haman et al., 2012; Pal et al., 2013; Coen et al., 2014; Korhonen et al., 2014; Pal and Haeffelin, 2015).

In the last two decades, elastic lidar (EL) systems have been widely applied in PBL studies (Flamant et al., 1997; Menut et al., 1999; Davis et al., 2000; Brooks, 2003; Morille et al., 2007; Münkel et al., 2007; Baars et al., 2008; Pal et al., 2010; De Tomasi et al., 2011; Haeffelin et al., 2017; Wang et al., 2012; Granados-Muñoz et al., 2012; Lange et al., 2014; Fedele et al., 2015; Banks and Baldasano, 2016; Bravo-Aranda et al., 2017; Liu et al., 2018; Zhu et al., 2018). The detection of the PBLH using EL (PBLH_{elastic}) is based on the definition provided by Deardorff et al. (1980) for this variable: "the altitude where there are equals areas of clear air below and particulates above", e.g. considering an ideal lidar return the PBLH is at the midpoint where an inflexion occurs and the areas below and above the lidar return curve are equal (Kovalev and Eichinger, 2004). Thus, when PBL is fully developed the height of CBL (PBLH_{elastic}^{CBL}) is detected, otherwise the RL Height (PBLH_{elastic}^{RL}) is observed instead. However, it is not easy to find this midpoint by the use of real EL signals due to either low signal-to-noise ratio or complex vertical distribution of the atmospheric aerosols such as the presence of aerosol multilayers or clouds (Kovalev and Eichinger, 2004). To solve this issue, mathematical methods are applied to the EL signal to reduce ambiguities in analyzed signals. The traditional algorithms applied in PBLH_{elastic} detection are the Gradient Method (Menut et al., 1999; Martucci et al., 2007; Baars et al., 2008; Li et al., 2017; Zhu et al., 2018), Variance or Centroid Method (Hooper and Eloranta, 1986; Menut et al., 1999; Martucci et al., 2007), Threshold Method (Melfi et al., 1985; Kovalev and Eichinger, 2004), Fit Method (Eresmaa et al., 2006; Li et al., 2017) and Wavelet Covariance Transform (Davis et al., 2000; Granados-Muñoz et al., 2012; Lopes et al., 2014). However, these methods can still overestimates PBLH_{elastic} on the mentioned complex situations. Lange et al. (2014), Bravo-Aranda et al. (2017) and Liu et al. (2018) proposed algorithms to overcome these situations, using a method based on Extended Kalman Filter, information from depolarization lidar channels and combination between aerosol colour ratio and depolarization ratio, respectively, the drawback however is obvious as not as lidar systems are polarization-sensitive. Another shortcoming of the detection of SBL technique is the high range for full overlap of some systems, which for azimuth pointing systems can be considered altitude dependent, what might prevent a correct detection of the SBL that is typically found at lower heights.

Doppler lidars (DL) have been also used for PBL studies (Avoloio et al., 2017; Das et al., 2018), mainly in PBLH detection (PBL $H_{Doppler}$), so that the most applied algorithms with these systems are based on either ML definition or turbulence threshold. The methods that use ML definition are the same EL methods mentioned above using the backscattered signal (Shukla et al., 2014), however the carrier-to-noise ratio (CNR) profile also can be applied in some algorithms, e.g. variance method (Moreira et al., 2015). In these cases, similarly to EL, when PBL is fully developed the height of CBL ($PBLH_{Doppler}^{CBL}$) is detected, otherwise the height RL ($PBLH_{Doppler}^{RL}$) is observed. The main methods based on turbulence threshold are the variance of vertical wind speed (σ_w^2) (Barlow et al., 2011; Schween et al., 2014), low-level jets detection (Moreira et al., 2015), turbulent energy dissipation rate (O'Connor et al., 2010) and spectrum of horizontal wind component (Marques, 2017). In these cases during nighttime stable situations the top of the SBL, *PBLH*_{Doppler} ^{SBL}, is detected and under convective situations the *CBL*, $PBLH_{Doppler}^{CBL}$, is the one selected.

Based on characteristics of potential temperature profile ($\theta(z)$, where z is the altitude above the ground) in *PBL*, some authors

(Granados-Muñoz et al., 2012; Wang et al., 2012; Coen et al., 2014) proposed to detect the *PBLH* from temperature profiles provided by Microwave Radiometer (MWR) data ($PBLH_{MWR}$). Cimini et al. (2013) estimated $PBLH_{MWR}$ from brightness temperatures that are directly obtained from MWR. An advantage of this kind of systems is that its operation is little affected by rain or cloud covers (Kim and Lee, 2015). Such characteristics combined with the absence of incomplete overlap issues in the near range allows estimating the $PBLH_{MWR}$ in continuous mode with high recovery rate, so that both unstable (convective) and stable cases are observed, $PBLH_{MWR}^{CBL}$ and $PBLH_{MWR}^{SBL}$ respectively.

According to the previous paragraphs, different remote sensing methods provide complementary information on the PBL structure, with the characterization of its different layers. In this work we check the feasibility of applying MWR, EL and DL for the characterization of the PBLH structure in simple and complex situations. Firstly the $PBLH_{MWR}$ is validated against the PBLH obtained from radiosonde data ($PBLH_{Radiosonde}$). Then, three study cases and a statistical analysis extended to the experimental period of SLOPE-I campaign are presented in order to show how DL, EL and MWR can offer a picture of the complex PBL dynamics during the whole daily period, i.e., daytime and nighttime. Special care is paid to the limitations of each instrument in the characterization of the PBL.

This paper is then organized as follows. The site and the experimental setup are described in Section 2. The applied methodologies are introduced in Section 3. The analysis of case studies and the statistical comparison are performed in Section 4. Conclusions are given in Section 5.

2. Experimental site and instrumentation

2.1. IISTA-CEAMA and SLOPE-I campaign

The measurement campaign was carried out at the Andalusian Institute of Earth System Research (IISTA-CEAMA). This station is located at the city of Granada, a medium sized non-industrialized city in the Southeastern Spain (Granada, 37.16°N, 3.61°W, 680 m a.s.l.). Granada is surrounded by mountains and dominated by Mediterraneancontinental conditions, which are responsible for large seasonal temperature differences, providing cool winters and hot summers. The most humid period goes from late autumn to early spring. The rest of the year is characterized by rain scarcity. Granada is predominantly affected by aerosol particles coming from Europe and mineral dust particles from the African continent and the heavy traffic along all year (Lyamani et al., 2006a, 2006b, 2010; Córdoba-Jabonero et al., 2011; Titos et al., 2012, 2014; Navas-Guzmán et al., 2013; Valenzuela et al., 2014). Main local sources are road traffic, domestic-heating and biomass burning (mostly in winter time) (Titos et al., 2017). Transported smoke principally from North America, North Africa and the Iberian Peninsula can also affect the study area (Alados-Arboledas et al., 2011; Navas-Guzmán et al., 2013; Preißler et al., 2013; Ortiz-Amezcua et al., 2014, 2017).

The field campaign Sierra Nevada Lidar aerOsol Profiling Experiment I (SLOPE I) was held from May to September 2016 in South-Eastern Spain in the framework of the European Research Infrastructure for the observation of Aerosol, Clouds, and Trace gases (ACTRIS). This campaign aimed to perform a closure study by comparing remote sensing system (located at IISTA-CEAMA) and in-situ measurements, which were performed in different heights in the slope of Sierra Nevada at 20 km away from IISTA-CEAMA.

2.2. Instrumentation

The biaxial ground-based Elastic-Raman lidar system MULHACEN (customized version of LR331D400, Raymetrics S.A.), is deployed at IISTA-CEAMA and is part of the EARLINET (Papalardo et al., 2014) and SPALINET (Sicard et al., 2009) networks. MULHACEN operates with a pulsed Nd:YAG laser, frequency doubled and tripled by Potassium

Dideuterium Phosphate crystals. It emits at the wavelengths 355, 532 and 1064 nm with output energies per pulse of 60, 65 and 110 mJ, respectively. It has three elastic channels, which are 355, 532 (parallel and perpendicular polarization) and 1064 nm, and three Raman-shifted channels, which are 387 (from N_2), 408 (from N_2) and 607 nm (from N_2). MULHACEN has a nominal spatial resolution of 7.5 m. The overlap is complete at 90% between 520 and 820 m a.g.l. for all the wavelengths and full overlap is reached around 1220 m a.g.l (Navas-Guzmán et al., 2011; Guerrero-Rascado et al., 2010). Further technical details are given by Guerrero-Rascado et al. (2008, 2009).

The coherent *DL* (Halo Photonics) model Stream Line is operating in continuous and automatic mode since May 2016. This system uses heterodyne detection to measure the Doppler shift of backscattered light. It operates an eye-safe laser transmitter vertically pointing to zenith emitting at $1.5\,\mu m$ with pulse energy and repetition rate of $100\,\mu J$ and $15\,KHz$, respectively. The *DL* records the backscattered signal with 300 gates, where the range gate length is 30 m and its first gate is located at $60\,m$. The data acquisition is performed in Stare mode (only the vertical wind speed is measured) with a time resolution of $2\,s$.

The ground-based passive microwave radiometer (RPG-HATPRO G2, Radiometer Physics GmbH) is part of MWRnet (Rose et al., 2005; Caumont et al., 2016). This system operates in automatic and continuous mode since November 2011. It measures the sky brightness temperature with a radiometric resolution between 0.3 and 0.4 K root mean square error at 1 s integration time. It operates with direct detection receivers within two bands: 22–31 GHz (water vapor - K band) and 51–58 GHz (oxygen - V band), from which ones is possible to derive relative humidity and temperature profiles, respectively. Both profiles are obtained by inversion algorithms described in Rose et al. (2005). The vertical resolution varies between 10 and 200 m in the first 2Km. From 2 to 10 Km, such resolution varies between 200 and 1000 m (Navas-Guzmán et al., 2014).

During this campaign, twenty-three radiosondes were also available, so that nineteen were launched during the convective period (between 17:00 and 18:00 h -local time) and four were launched during stable period (between 21:00 and 22:00 h – local time). The data were acquired with lightweight weather radiosondes (DFM-06, GRAW Radiosondes), which provides profiles of temperature (resolution 0.01 °C and accuracy 0.2 °C), pressure (resolution 0.1 hPa, accuracy 0.5 hPa), humidity (resolution 1%, accuracy 2%) and wind speed (resolution 0.1 m/s, accuracy 0.2 m/s). Data processing were accomplish by the Grawmet5 software and a GS-E ground station from the same manufacturer (Granados-Muñoz et al., 2012). The surface temperature was obtained from a meteorological station (HMP60, Vaisala), with a temporal resolution of 2 min and an accuracy and precision of 0.6 °C and 0.01 °C, respectively.

3. Methodology

3.1. Temperature method

The algorithm combines two approaches, namely the Parcel Method (*PM*) (Holzworth, 1964) and Temperature Gradient Method (*TGM*) (Coen et al., 2014), estimating the *PBLH* from *MWR* and Radiosonde data (*PBLH_{MWR}* and *PBLH_{Radiosonde}*, respectively) under convective (*PBLH^{CBL}*) and stable situations (*PBLH^{SBL}*). The discrimination between stable and convective situations is based on the differences in vertical profiles of potential temperature under stable and unstable conditions (see Stull, 1988). Thus we propose a methodology where the surface potential temperature ($\theta(z_0)$, which is obtained from the meteorological station co-located with the MWR) is compared with all points in $\theta(z)$ profile below 5 km a.g.l, where z_0 and z represent, respectively, the surface and the range of heights above the ground. If all points have values larger than $\theta(z_0)$, the situation is labelled as stable and TGM is used. Otherwise, the situation is considered as unstable and the PM is applied. The choice of 5 km guarantees that we check the full range that

could cover the PBL at Granada.

The *PM* estimates the *PBLH^{CBL}* at height (z) where $\theta(z)$ is equal to $\theta(z_0)$, because this is the altitude where an air parcel with an ambient temperature T can adiabatically rise from the ground by convection (Holzworth, 1964). The *TGM* provides the *PBLH^{SBL}* from two definitions: surface-based temperature inversion (*SBTI*) (the first height where T increases as function of altitude) and top of stable boundary layer (*TSBL*) (the first height above *SBTI* where $d\theta/dz=0$), therefore, firstly *SBTI* is detected from T(z), then from this height is identified the *TSBL* in the $\theta(z)$. If *SBTI* or *TSBL* are not detected the *PBLH^{SBL}* is labelled as "not identified".

The potential temperature profile used in this algorithm is obtained from the temperature vertical profile, assuming that the surface pressure is 1000 mb and thus using the definition of potential temperature by applying the following formula: (Stull, 2011)

$$\theta(z) = T(z) + 0.0098*z \tag{1}$$

where T(z) [K] is the temperature profile, z is the height above the ground level, 0.0098 [K/m] is the dry adiabatic temperature gradient, and the atmosphere is considered as standard. For the computation of $PBLH_{MWR}$, the profiles of $\theta(z)$ were 30-min averaged in order to reduce the noise, providing 30-min PBLH estimations.

3.2. Variance threshold method

The variance of vertical wind speed $(\sigma_w 2)$ is used to estimate the vertical size of convective cells growing due to homogeneous turbulent movement. Therefore, this variable is applied as an indicator of the mixing layer height, corresponding to PBLH_{Doppler} SBL in stable cases and $PBLH_{Doppler}^{CBL}$ in unstable cases. $PBLH_{Doppler}$ is adopted as the first height where $\sigma_w 2$ has a value lower than a predetermined threshold (th_{var}) . Although different studies use distinct th_{var} values ranging from $th_{var} = 0.09 \text{ m}^2/\text{s}^2$ (Pearson et al., 2010) to 0.16 m²/s² (Träumner et al., 2011, Schween et al., 2014), Schween et al., 2014 demonstrated that a variation of 25% in th_{var} value causes a deviation around 7% in PBLH detection. We adopted the threshold value of 0.16 m²/s² that is extendedly used, being obtained from the semi-theoretical profile of σ_w proposed by Lenschow et al. (1980). This value of th_{var} also was confirmed with Doppler lidar measurements and mathematical modeling by Large Eddy Simulations (LES) (Lenschow et al., 2012). In our case $\sigma_{\rm w}2$ is calculated using time intervals of 30 min.

3.3. Extended Kalman Filter (EKF) method

The Extended Kalman Filter (*EKF*) method (Lange et al., 2014; Banks and Baldasano, 2016) estimates the $PBLH_{elastic}$ based on an adaptive approach by extended Kalman Filter, which generates a simplified erf-like curve (Gauss error function (Abramowitz and Stegun, 1965)) model h (Fig. 1) from the EL range corrected signal (*RCS*) and four time-adaptive coefficients as follows:

$$h(R; R_{bl}, d, A, c) = \frac{A}{2} \left\{ 1 - \operatorname{erf} \left[\frac{d}{\sqrt{2}} (R - R_{bl}) \right] \right\} + c$$
 (2)

where R_{bl} is an initial guess to $PBLH_{elastic}$, d is a scaling factor to entrainment zone thickness, A is the amplitude of the erf transition, and c is the average value of molecular signal (Banks and Baldasano, 2016). The successful use of this method strongly depends on the correct initialization of the EKF state vector that requires a priori statistical covariance information. This is obtained from the state vector noise and a priori error covariance matrices. Further details are given by Lange et al., 2014. In this work the RCS profiles of wavelength 532 nm are utilized. Such profiles were averaged in packages of 30 min in order to reduce the noise and provide PBLH estimation with this same time resolution.

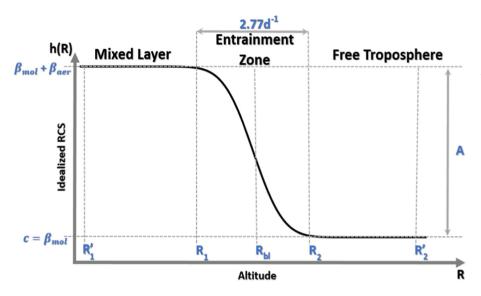


Fig. 1. Idealized lidar profile. The pair $R_1^{'}$ and $R_2^{'}$ defines the length limit of the observation vector applied in the filter. R_1 and R_2 represent the limits of the erf-like PBL transition zone. R_{bl} is the PBLH guest, β_{mol} is the average value of molecular signal, β_{aer} is the signal obtained from aerosol backscattering, d is a scaling factor to entrainment zone thickness and A is the amplitude of the erf transition.

3.4. Statistical parameters

The statistical comparison performed in Section 4 is based on following parameters:

• Pearson coefficient of correlation (*R*): It indicates the level (and direction) of correlation performed between two group of data:

$$R = \frac{\sum_{i=1}^{n} (PBLH_{x_i} - \overline{PBLH_x})(PBLH_{Reference_i} - \overline{PBLH_{Reference}})}{\sqrt{\sum_{i=1}^{n} (PBLH_{x_i} - \overline{PBLH_x})^2} \sqrt{\sum_{i=1}^{n} (PBLH_{Reference_i} - \overline{PBLH_{Reference}})^2}}$$
(3)

- The absolute values of *R* can varies from 0 to 1, the closer the absolute values of *R* to 1, the larger correlation between the analyzed variables.
- Index of agreement (D) (Wilmont, 1981): D, often applied in comparison of models, presents the level of agreement between a given set of values (PBLH_x) and the reference values (PBLH_{Reference}):

$$D = 1 - \frac{\sum_{i=1}^{n} (PBLH_{Reference_i} - PBLH_{x_i})^2}{\sum_{i=1}^{n} (|PBLH_{x_i} - \overline{PBLH}_{Reference}| - |PBLH_{Reference_i} - \overline{PBLH}_{Reference}|)^2}$$
(4)

- D ranges from 0 to 1, higher values of D indicating better agreement between PBLH_{Reference} and the PBLH_x.
- Root Mean Square Error (RMSE): Such variable demonstrates how concentrated the data (PBLH_x) are around the line of the best fit obtained from reference data (PBLH_{Reference}):

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (PBLH_{Reference_i} - PBLH_{x_i})^2}{n}}$$
(5)

• Percentage change ($\Delta PBLH_{x-Reference}$): This variable represents the relative percentage change between $PBLH_x$ and the $PBLH_{Reference}$:

$$\Delta PBLH_{x-Reference} = \frac{PBLH_x - PBLH_{Reference}}{PBLH_{Reference}}$$
(6)

In all equations demonstrated above $PBLH_x$ and $\overline{PBLH_x}$ represent the PBLH value and its average value respectively, where the subscribed index x indicates the instrument applied in PBLH detection (MWR, DL [Doppler] or EL [Elastic]). In the same way $PBLH_{Reference}$ and $\overline{PBLH}_{Reference}$ represent the PBLH value used as reference and its average value, respectively, so that the subscribed index Reference indicate the

instrument used as reference in *PBLH* detection (*MWR* or Radiosonde as will be described in Section 4.1).

4. Results

4.1. MWR and radiosonde PBL intercomparison

This sub-section presents a statistical comparison of *PBLH* retrieved from MWR data (PBLH_{MWR}) and the estimations obtained applying similar methodology (Section 3.1) to the radiosonde profiles (PBLH_{Radiosonde}). PBLH_{MWR} and PBLH_{Radiosonde} present very similar results with high level of correlations (R) and index of agreement (D) under convective and stable atmospheric conditions ($R_{Convective} = 0.96$, $D_{Convective} = 0.89$, $R_{Stable} = 0.97$, $D_{Stable} = 0.98$). The percentage difference between $PBLH_{MWR}$ and $PBLH_{Radiosonde}$ ($\Delta PBLH_{MWR-Radiosonde}$) in convective cases (-0.6%) is smaller than the corresponding relative difference observed in stable cases (8.1%), when the MWR always overestimate the PBLH derived from the radiosonde. This overestimation probably occurs because of the limited and smaller vertical resolution of MWR in comparison with radiosonde (in the first 350 m $\theta_{Radiosonde}(z)$ has around 12 levels, while $\theta_{MWR}(z)$ has 3 levels), what requires further interpolations during the process of PBLH_{MWR} detection. The Root Mean Square Error (RMSE) values observed in both situations are small (190 and 50 m in convective and stable cases, respectively). The largest value of RMSE occurs under convective conditions because of the average value of PBLH obtained in unstable conditions is around 68% higher than the average values in stable

Based on these results, we can conclude that, although the vertical temperature profile derived from MWR has lower vertical resolution than that derived from the radiosondes, the values of $PBLH_{MWR}$ obtained by the methodology described in Section 3.1 are equivalents to $PBLH_{Radiosonde}$, retrieved by an equivalent algorithm applied over the radiosonde temperature profiles.

As mentioned before, the *PBLH* detection based on radiosonde data is the most accepted methodology for deriving the *CBL* and *SBL*. Therefore, due to good agreement between $PBLH_{MWR}$ and $PBLH_{Radiosonde}$, and the high temporal resolution of MWR, $PBLH_{MWR}$ is adopted as standard procedure for deriving the height for the *CBL* and the *SBL*. In this way a continuous PBLH detection is performed thus providing an insight on the *PBL* dynamics along the day.

4.2. Study cases

As aforementioned in Section 1, the complexity of the PBL

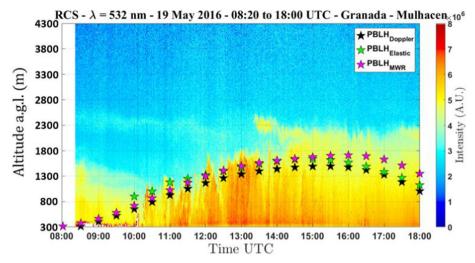


Fig. 2. Temporal evolution of RCS profile and PBLH provided by MWR (pink stars), EL (green stars) and DL (black stars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

characterization is linked to the complexity of its structure that changes along the day. In this section, we present three case studies in increasing level of complexity to analyze how *MWR*, *EL* and *DL* determine the *PBL* structure under different situations. The three scenarios are: 1) well-defined *PBL* (the simplest case); 2) presence of clouds (complicated situation mainly for lidar systems, e.g. Hennemuth and Lammert, 2006), and (iii) Saharan dust outbreak (very complicated and typical situation over the city of Granada, e.g., Bravo-Aranda et al., 2017).

4.2.1. Well-defined PBL case

A well-defined PBL case was detected on 19th May 2016 with MWR and DL measuring continuously, and MULHACEN operating from 08:20 until 18:00 UTC. Fig. 2 shows the temporal evolution of the EL RCS at 532 nm and the retrieved $PBLH_{MWR}$, $PBLH_{Doppler}$ and $PBLH_{elastic}$. The last one only can be observed after 10:00 UTC, because the CBL was below the full-overlap height of MULHACEN. From 08:20 until 10:00 UTC the RCS temporal evolution suggest the presence of the RL over the CBL. Also there are some aerosol layers over the CBL between 13:00 and 18:00 UTC with altitudes around 2.3 km a.g.l.

Fig. 3 presents the temporal evolution of the relative differences in percentage $\Delta PBLH_{Doppler-MWR}$ (blue bars) and $\Delta PBLH_{Elastic-MWR}$ (orange bars), evaluated in 30-min intervals. Due to the small height for

full overlap of the DL, it is feasible to perform the comparison between DL and MWR during all the convective period (06:00–18:00 UTC). From the first hours until 15:00 UTC, $|\Delta PBLH_{Doppler-MWR}|$ varies between 4 and 8%. The largest values of $\Delta PBLH_{Doppler-MWR}$ (above 10%) are observed in the last hours when PBL begins to decrease. This is caused by the different PBLH tracers used in each method. Unlike the moments of intense convection where both algorithms detect the height of CBL ($PBLH_{MWR}^{CBL} \sim PBLH_{Doppler}^{CBL}$), when PBL stability is changing the variance threshold method detects the ML height, while Temperature method detects the TSBL. Resulting in the higher values of $\Delta PBLH_{Doppler-MWR}$.

When *CBL* grows or decrease rather fast (10:00–11:30 UTC and 16:00–18:00 UTC), high values of $|\Delta PBLH_{elastic-MWR}|$ are observed (between 8 and 15%). Although, in this period, *EKF* and Temperature methods detect the height of *CBL*, the different tracers used are subject to distinct interferences. While the temperature profile varies directly by thermodynamic phenomena, aerosols are affected by these phenomena and also can be influenced by others like emission rate from the ground and/or inertia, resulting in the differences observed in Fig. 3. When *CBL* is fully developed (between 12:00 and 15:30 UTC) its height does not show great differences among different methods, thus, under these conditions, the different tracers agree in the determination of the

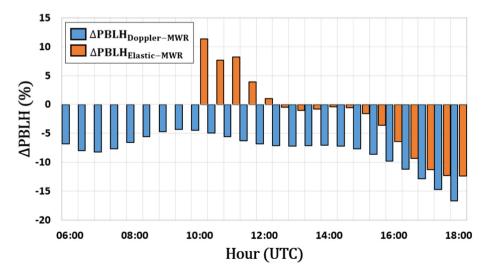


Fig. 3. Temporal evolution of $\Delta PBLH_{Doppler-MWR}$ (blue bars) and $\Delta PBLH_{Elastic-MWR}$ (orange bars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

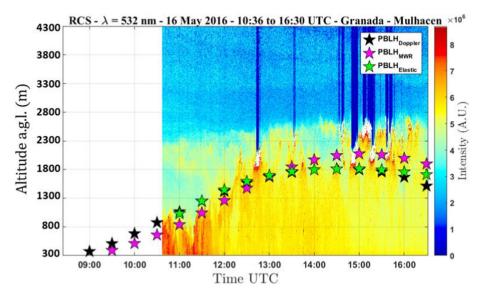


Fig. 4. Temporal evolution of *RCS* profile and *PBLH* provided by *MWR* (pink stars), *EL* (green stars) and *DL* (black stars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

PBLH. Therefore the smaller values of $\Delta PBLH_{MWR-elastic}$ are detected under fully developed convective columns (~1%). This high agreement between *PBLH* estimated from different tracers when *CBL* is fully developed was also observed by Schween et al. (2014) during the long-term comparisons between *PBLH* obtained from Doppler lidar and ceilometer data at Forschungszentrum Jülich (Germany).

4.2.2. Cloudy case

The second study case corresponds to 16th May 2016, where measurements with MWR and DL were continually performed while MULHACEN was operated from 10:36 until 16:30 UTC. This situation is more complex than in the previous case, due to presence of clouds between 1.8 and 2.8 km a.g.l. (12:30 to 16:30 UTC –) and lofted aerosol layers between 2.5 and 3.5 km a.g.l. Fig. 4 shows the EL RCS temporal evolution together with $PBLH_{elastic}$, $PBLH_{MWR}$, and $PBLH_{Doppler}$.

Fig. 5 presents the percentage differences of $\Delta PBLH_{elastic-MWR}$ and $\Delta PBLH_{Doppler-MWR}$ for the whole period of measurements. The behavior of $\Delta PBLH_{Doppler-MWR}$ in this case is similar to that observed in the study case I, small and almost constant values when CBL does not varies too much and large values in the periods when there are intense and fast variation of PBLH. During the cloudy periods, $|\Delta PBLH_{Doppler-MWR}|$

values increase (around 15%), because the *DL* and Temperature methods to detect the *PBLH* under cloudy conditions establishes the *PBLH* at the cloud base (Schween et al., 2014) and at the cloud center, respectively.

In a similar way as $\Delta PBLH_{Doppler-MWR}$, $\Delta PBLH_{elastic-MWR}$ presents a pattern similar to that encountered in the study case I, with values close to 5% around noon, and values close to 10% at the moments of high convective activity. High values of $\Delta PBLH_{MWR-elastic}$ are observed during the cloudy period because, similarly at DL method, PBLH it is established at the cloud base.

4.2.3. Saharan dust case

This case illustrates the Saharan dust outbreak over Granada on 22th July 2017 detected by MWR, DL and EL (from 04:47 until 12:32 UTC). Fig. 6 shows the EL RCS temporal evolution together with PBLH_{MWR}, PBLH_{Doppler} and PBLH_{elastic}. At the start time of the EL measurement the dust layer is coupled with RL. In such cases PBLH detection is very complicated for methods that use the atmospheric aerosol as a tracer, and many of them often overestimate the PBLH. Bravo-Aranda et al. (2017) proposed the utilization of lidar depolarization measurements to distinguish between mineral dust and anthropogenic aerosol

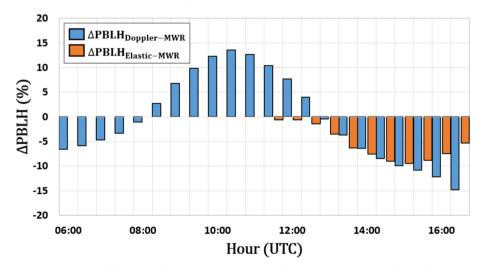


Fig. 5. Temporal evolution of $\Delta PBLH_{Doppler-MWR}$ (blue bars) and $\Delta PBLH_{Elastic-MWR}$ (orange bars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

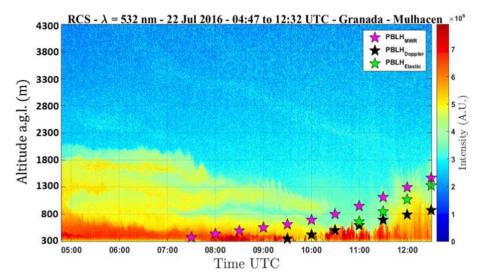


Fig. 6. Temporal evolution of RCS profile and PBLH provided by MWR (pink stars), EL (green stars) and DL (black stars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

layers in order to estimate the height only of the last one and adopt it as PBLH.

 $PBLH_{Doppler}$ detection is not affected by presence of dust layer, because it is based on the level of mixing. Although there is a mineral dust layer coupled with other anthropogenic aerosol layers, the level of mixing observed in the first meters of PBL exceeds the threshold selected, therefore $PBLH_{Doppler}^{CBL}$ is detected at this region. In contrast, the presence of mineral dust layer, due to absorption of infrared radiation, changes the potential temperature profile, so that $PBLH_{MWR}^{CBL}$ is registered in upper layers in comparison with $PBLH_{Doppler}^{CBL}$. These detections of distinct phenomena result in higher values of $\Delta PBLH_{Doppler-MWR}$ in comparison with the other study cases previously discussed (reaching 60%). However, the values of $\Delta PBLH_{Doppler-MWR}$ reduce as the PBL becomes more homogeneous, reaching about 38% in the last hours of measurement (Fig. 7).

During the first hours of this measurement, $PBLH_{elastic}$ probably would be affected by dust layer due to impossibility of differentiating the coupled layers. At 11:00 UTC the dust layer is displaced (Fig. 6) and does not affect the $PBLH_{elastic}$ detection. Although the fast PBL growth and the existence of different influences acting on the distinct tracers result in high values of $\Delta PBLH_{MWR-elastic}$ in comparison with other situations (reaching 32%). However, these values decrease as the growth

rate reduces, reaching 11% in the last hour of measurements. Banks et al. (2015) found similar results when they compared the $PBLH_{elastic}$ obtained from EKF with PBLH estimated from radiosonde data by bulk Richardson number.

4.3. Statistical analysis

The statistical study of the comparison of the *PBLH* retrieved by the three remote sensing methods used during all SLOPE-I campaign is presented in this section. The comparison between $PBLH_{MWR}$ and $PBLH_{Doppler}$ was performed over 24 h of all days of campaign. This allows the evaluation of the DL retrieval, $PBLH_{Doppler}$, both under stable and convective situations. Nevertheless, the comparison between $PBLH_{elastic}$ and $PBLH_{MWR}$ is not extended for the whole day because, as a result of the relatively large full overlap height of MULHACEN, in the morning and at night the $PBLH_{elastic}^{RL}$ is detected (Bravo-Aranda et al., 2017), while Temperature method detects the $PBLH_{MWR}^{CBL}$. Therefore, to ensure that both instruments detect the same variable, EKFmethod was applied only when the reference $PBLH_{MWR}$ exceeded 700 m a.g.l., therefore between 09:00 and 19:00 UTC.

Fig. 8 demonstrated the comparison among the average daily *PBLH* values of *MWR* (\overline{PBLH}_{MWR}), *DL* ($\overline{PBLH}_{Doppler}$) and *EL* ($\overline{PBLH}_{Elastic}$). Both

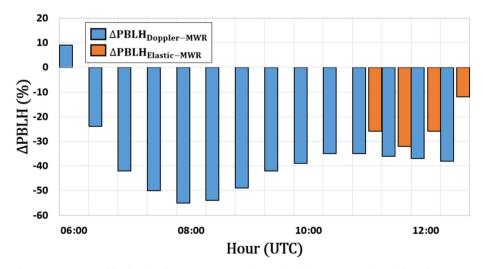


Fig. 7. Temporal evolution of $\Delta PBLH_{Doppler-MWR}$ (blue bars) and $\Delta PBLH_{Elastic-MWR}$ (orange bars). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

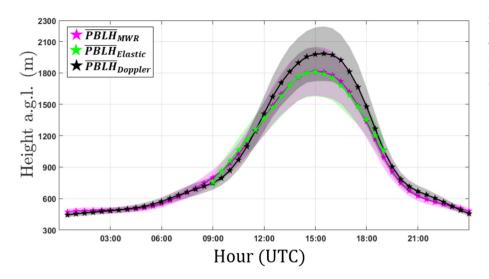


Fig. 8. Average values of *PBLH* provided by *MWR* (pink stars), *EL* (green stars) and *DL* (black stars). The shadows with the colors of stars mentioned above represent the standard deviation of respective methods. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

profiles have similar behaviors with differences smaller than 300 m. $\overline{PBLH}_{Elastic}$ presents the lowers differences with relation to \overline{PBLH}_{MWR} . $\overline{PBLH}_{Doppler}$ is overestimated when compared to the reference values along almost the whole profile, however the such values do not exceed the standard deviation of \overline{PBLH}_{MWR} .

Fig. 9 shows the daily pattern, of the statistics describing the comparison between $PBLH_{MWR}$ and $PBLH_{Doppler}$, with a temporal resolution of 30 min. It is evident that the absolute average value of $\Delta PBLH_{Doppler-MWR}$ does not exceed 20%. The higher values are observed between 21:00 and 22:00 UTC, 00:00 and 01:00 UTC, 08:30 and 10:30 UTC, 16:30 and 18:30 UTC. The last two intervals are characterized by intense PBLH changes, thus being justified in the terms argued in the discussion of the study cases. The lowest differences are concentrated in central region of day and in some moments associated to the SBL (around 3%). Most of the time $PBLH_{Doppler}$ overestimates the $PBLH_{MWR}$, however the higher values of average $\Delta PBLH_{Doppler-MWR}$ also

occur when $PBLH_{MWR}$, is underestimated by $PBLH_{Doppler}$. RMSE bears practically constant values during the stable periods (around 100 m). The highest values occur between 16:30 and 18:30 UTC (around 450 m). R values are larger than 0.70 between 04:30 and 16:30 UTC, and the higher values (0.90) are in the central region of day, when PBL is fully-developed. After 16:30 UTC R value begins to decrease, reaching their minimum values during the stable period. D values are larger than 0.85 during quite all the period, outside of the period between 22:30 and 00:00 UTC, where D is lower than 0.70. Similarly to R, the higher values of D (0.99) occur often when PBL is fully-developed.

From the combination of the statistics presented in Fig. 9 it is possible to affirm that $PBLH_{Doppler}$ has a good agreement with $PBLH_{MWR}$ in 80% of the daily cycle, so that the lower results are observed between 20:00 and 00:00 UTC. This is due to the different PBLH indicator adopted by each method, because while the variance threshold method is based on analysis of turbulence level, Temperature method detects

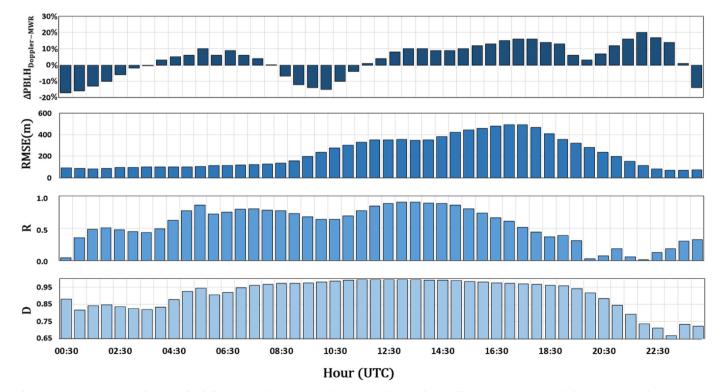


Fig. 9. Statistical comparison between the daily patterns of $PBLH_{MWR}$ and $PBLH_{elastic}$ obtained during all SLOPE-I campaign. Each bin size is equivalent to 30 min. $\Delta PBLH_{Doppler-MWR}$, RMSE, R and D represents average percentage difference, root mean square error, correlation index and index of agreement, respectively.

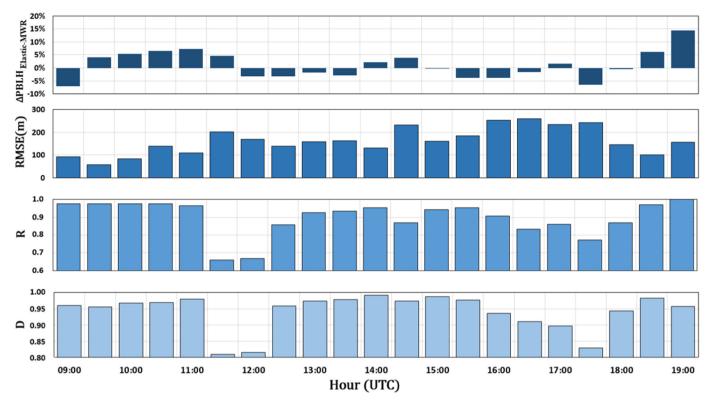


Fig. 10. Statistical comparison between the daily patterns of $PBLH_{MWR}$ and $PBLH_{elastic}$ obtained during all SLOPE-I campaign. Each bin size is equivalent to 30 min. $\Delta PBLH_{Elastic} - MWR$, RMSE, R and D represents average percentage difference, root mean square error, correlation index and index of agreement, respectively.

the *TSBL*, so that these events do not occur always at same height, meanly when *PBL* has vertical displacements (in this situation decreasing), as mentioned above.

Fig. 10 shows the statistics describing the comparison between the daily patterns of $PBLH_{MWR}$ and $PBLH_{elastic}$. During all SLOPE-I campaign the absolute average value of ($\Delta PBLH_{Elastic}$ — $\Delta PBLH_{Elastic}$ — $\Delta PBLH$ does not exceed 15%. The higher values are detected at 09:00 UTC, between 10:00 and 11:30 UTC, at 17:00 UTC and between 18:30 and 19:00 UTC (around 13%), where frequently $\Delta PBLH$ has fast changes. For all the period, the $\Delta PBLH$ has values lower than obtained in the comparison between the retrievals of $\Delta PBLH$ by $\Delta PBLH$ by $\Delta PBLH$ has difference in the results of $\Delta PBLH$ by $\Delta PBLH$ by $\Delta PBLH$ at 17:30 UTC and at 17:30 UTC, where $\Delta PBLH$ values are lower than 0.8, high correlations are observed, mainly in the beginning of measurement and in the central part of the day. $\Delta PBLH$ presents a similar behavior with values lower than 0.85 between 11:30 and 12:00 UTC and at 17:30 UTC and higher values in the central of day, when PBL is fully-developed.

The joint analysis of these statistical variables reveals a good agreement between $PBLH_{MWR}$ and $PBLH_{elastic}$ mainly in the central part of day, when PBL is fully developed and low average values of $\Delta PBLH_{Elastic-MWR}$ together with high values of R and D are observed. The largest discrepancies are observed in moments of intense increase and/or decrease of PBLH, due to great change in PBL affecting in a different way the distinct PBLH tracers used in each method, thus leading to discrepancies in the retrieval of the PBLH.

5. Conclusions

This work presents a comparison between *PBLH* obtained from three remote sensing systems, namely *MWR*, *EL* and *DL*, which retrieve this variable using as a proxy the vertical profile of potential temperature, aerosol and vertical wind speed, respectively. The data were acquired during SLOPE-I campaign in Granada (Spain) from May to July in 2016.

Firstly the $PBLH_{MWR}$ is validated by $PBLH_{Radiosonde}$ from the methodology describe in Section 3.1. The PBLH provided by both instruments are equivalent in stable and convective situations, with high level of correlations and index of agreement ($R_{Convective} = 0.96$, $D_{Convective} = 0.89$, $R_{Stable} = 0.97$, $D_{Stable} = 0.98$) and low values of $\Delta PBLH_{MWR-Radiosonde}$ (-0.6 and 8.1% for convective and stable cases, respectively). This agreement between the data allowed us to use the $PBLH_{MWR}$ as the reference method, for the rest of the study.

Three study cases (well-defined *PBL*, *PBL* with presence of thick clouds and *PBL* with coupled dust layer) are analyzed in detail in order to investigate the behavior of *PBLH*_{Doppler}, *PBLH*_{elastic} and *PBLH*_{MWR}. In situations where *PBL* is well defined and the growth rate is not intense, all methods present small percentage differences ($\Delta PBLH$ smaller than 5%). Similar results also were observed by Schween et al. (2014) in its long-term comparison between *PBLH* estimated from *DL* and ceilometer, and by Coen et al. (2014) in its comparison between *PBLH* obtained from *MWR*, *EL*, radiosonde and wind profiler data. However, under scenarios where *PBL* grows rapidly, there are presence of clouds and/or dust layers, the values of $\Delta PBLH$ increase (differences around 60% for *DL* and 35% for *EL*, with respect to the *MWR* estimations). Such differences are originated by the distinct influence suffered by each tracer (inertia, gravitation, etc.), as well as, *PBLH* definition (case with presence of clouds).

In addition, a statistical analysis was performed for all SLOPE-I campaign. The comparison between $PBLH_{MWR}$ and $PBLH_{Doppler}$ is performed over the whole 24 h day period, while $PBLH_{elastic}$ and $PBLH_{MWR}$ were compared between 09:00 UTC and 19:00 UTC, due to the shortcomings associated to the rather large height for full overlap of the MULHACEN lidar system. The best agreement between $PBLH_{Doppler}$ and $PBLH_{MWR}$ (low values of average $\Delta PBLH$ and higher values of R and D) are obtained when PBL is fully developed. The worst correlations (low values of R and R and R and higher average values of R and R occur between 21:30 and 00:00 UTC. In the same ways as $RBLH_{Doppler}$, $RBLH_{elastic}$ has the best correlations with $RBLH_{MWR}$ in the central region of day and the

worst results in moments of fast *PBLH* growth and/or decreasing (R < 0.8 and D < 0.85). From these comparison we can conclude that when *PBL* is full-developed both lidar systems have good results, although $RMSE_{Elastic} < RMSE_{Doppler}$ likely as a result of the best vertical resolution of the MULHACEN lidar in comparison with the *DL*. During the periods of intense *PBLH* increasing and/or reduction *PBLH* Doppler has correlations (D always larger than D.85) better than D.85 utc. In stable cases D.85 has more reliable values only from 00:30 UTC.

Therefore, although both lidar systems can estimate the *PBLH* with considerable level of agreement in relation to the reference method (*MWR*), *EL* provides better results during the period when *PBLH* is above its overlap limit, except situations of coupled dust layers are present. On the other hand, *DL*, due to its full overlap at low level, can estimates the *SBL* during most of the night with high accuracy.

This study demonstrated the feasibility of both algorithms to estimate *PBLH* in simple and complex situations, as well as the level of reliability of each one during the different phases of *PBL* daily cycle. Considering that the different techniques demonstrated in this work are complementary, in the future we will intend to use them synergistically in order to provide a detailed detection of the complex *PBL* structure (*RL*, *SBL* and *CBL*).

Acknowledgements

This work was supported by the Andalusia Regional Government through project P12-RNM-2409, by the Spanish Ministry of Economy and Competitiveness through project CGL2013-45410-R, CGL2016-81092-R and by the University of Granada through Plan Propio. Programa 9 Convocatoria 2013. The financial support for EARLINET in the ACTRIS Research Infrastructure Project by the European Union's Horizon 2020 research and innovation program through project ACTRIS-2 (grant agreement No 654109). The grant for PhD studies in Colombia, COLCIENCIAS (Doctorado Nacional - 647) associated to the Physics Sciences program at Universidad Nacional de Colombia, Sede Medellín and Asocaicion Universitaria Iberoamericana de Postgrado (AUIP). The authors thankfully acknowledge the FEDER program for the instrumentation used in this work.

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